

Terms of assessment per enterprise type

Enterprise type	Term
<p>Appointment of a person in charge of day-to-day policy, a supervisory board member or a co-policymaker at:</p> <ul style="list-style-type: none"> - Financial services provider (advisers, intermediaries and authorised agents and sub-agents) - Credit provider - Provider of investment objects - Holder of an exemption under Section 4:3 Wft (for instance, a crowdfunding platform); - Investment firms (including tied agents) - Management company of an undertaking for collective investment in transferable securities (UCITS) - Depositary for a UCITS - Investment company for a UCITS - Market operator (a person or entity managing or operating a regulated market) 	<ul style="list-style-type: none"> a) Application complete on receipt: decision within 6 weeks of receipt of application b) Application complete but further information from third party or parties required for decision → decision within 4 weeks of receipt of further information, but not later than 13 weeks after receipt of application c) Application incomplete on receipt: further information required for decision → decision within 4 weeks of receipt of further information, but not later than 13 weeks after receipt of application The AFM may reject the application if further information is not received in good time.
<p>Appointment of a person in charge of day-to-day policy, a supervisory board member, a holder of a qualified participation or a co-policymaker at:</p> <ul style="list-style-type: none"> - Management company of a collective investment scheme alternative investment fund (AIF) - Depositary for an AIF - Investment company for an AIF 	<ul style="list-style-type: none"> a) Decision within one month of receipt of notification b) If the AFM considers this necessary (given the specific circumstances of the case) → extension of the term by up to one month.
<p>Appointment of a co-policymaker or a policymaker at:</p> <ul style="list-style-type: none"> - Audit firm 	<ul style="list-style-type: none"> a) Application complete on receipt: decision within 6 weeks of receipt of application